

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of	)
Yukitoshi KATO	) Group Art Unit: 3731
Application No.: 09/993,633	) Examiner: V. Nguyen
Filed: November 27, 2001	) Confirmation No.: 8228
For: INSTRUMENT FOR EXTROVERTING BLOOD VESSEL	) )

## SECOND INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P. O. Box 1450 Alexandria, Virginia 22313-1450

Sir:

In accordance with 37 C.F.R. § 1.56, the following information is brought to the Examiner's attention.

The following six documents were cited in a Search Report recently issued by the European Patent Office in connection with a corresponding foreign application.

A copy of the Search Report is enclosed.

International Patent Publication No. WO 99/65409.

U.S. Patent No. 4,654,028.

U.S. Patent No. 4,622,970.

U.S. Patent No. 4,470,415.

U.S. Patent No. 2,940,452.

U.S. Patent No. 4,762,127.

DOCKETED Cemfiled 3-12-03

Application No. <u>09/993,633</u> Attorney Docket No. 029650-109

Page 2

To assist the Examiner in entering the foregoing information into the record,

International Patent Publication No. WO 99/65409, U.S. Patent No. 4,654,028, U.S.

Patent No. 2,940,452 and U.S. Patent No. 4,762,127 are cited on the attached form

PTO-1449. U.S. Patent No. 4,622,970 and U.S. Patent No. 4,470,415 are not cited

on the attached form PTO-1449 and copies are not being submitted with this

document as these documents were cited by the Examiner in the Official Action

dated August 1, 2003

I hereby certify that each item of information identified on the form PTO-1449

submitted with this Information Disclosure Statement was cited for the first time in a

communication from any foreign patent office in a counterpart foreign application not

more than three months prior to the filing of this Information Disclosure Statement.

The Examiner is respectfully requested to consider the foregoing information

and to acknowledge such consideration by initialling, signing and returning the

enclosed additional copy of form PTO-1449.

Respectfully submitted,

BURNS, DOANE, SWECKER, & MATHIS, L.L.P.

Date: December 12, 2003

Registration No. 32,814

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Substitute for forms 1449A/PTO & 1449B/PTO

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## SECOND INFORMATION DESCLOSURE STATEMENT BY APPLICANT

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ATTORNEY'S DKT No.	APPLICATION NO.	
029650-109	09/993,633	
APPLICANT		
Yukitoshi KATO		
FILING DATE	GROUP	
November 27, 2001	3731	

		U.S.	PATENT DOCUMENTS						
Examiner Initials	Document Kind Code Number (if known)		Name of Patentee or Applicant of Cited Document				Issue/Publication Date (MM-DD-YYYY)		
	4,654,028		SUMA		3-31-1987				
	2,940,452		SMIALOWSKI		6-14-1960				
	4,762,127		NARAYANAN et	al.	8-09-1988				
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		FOREI	GN PATENT DOCUMENTS						
Examiner Initials	Document Number	Kind Code	Country	Date of Publica		Trans			
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Examiner Date Considered Considered

EXAMINER: Initial if reference considered, whether or not citation is in conformance with M.P.E.P. § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



nventor: Yukitoshi KATO		A	pp. No	<b>)</b> .	09/993,633
Docket No.: 029650-109		Work. Atty. MLS/tf		Date:	December 12, 2003
The following was/wer	e recei	ived in the U.S. Patent and Trademark Office o	on the	date s	stamped hereon:
☐ Amendment or Response		Certificate Under 37 C.F.R. § 3.73(b)		Check	for \$ is enclosed
☐ Preliminary Amendment		Transmittal Letter for Missing Parts of Application		Check	for \$ is enclosed
Reply Transmittal Letter		Executed Declaration/Power of Attorney		Charge	e \$ to Deposit Account
☐ Petition for Month Extension of Time		Assignment/Assignment Recordation Form		Issue I	Fee Transmittal
<ul> <li>□ Submission of Formal Drawings w/sheet(s) of drawings (Fig(s). 1)</li> <li>□ Request for Approval of Drawing Changes w/sheet(s) of red ink drawings</li> <li>□ Notice of Appeal</li> <li>□ Brief for Appellant</li> <li>□ Request for Oral Hearing</li> <li>□ Reply Brief</li> <li>□ Response to Restriction Requirement or Election of Species</li> <li>□ Terminal Disclaimer</li> </ul>		Cover Sheet (PTO-1595)  Submission of Certified Copy of Priority Document was certified copy(s)  Information Disclosure Statement w/4 document(s  Information Disclosure Citation (PTO-1449)  Information Disclosure Statement Transmittal Letter  Request for Corrected Notice of Recordation of Assignment w/copy of Notice  Request for Continued Examination		charge Reque Status Reque Officia	ent of Issue Fee and Authorization to a Deposit Account est for Refund is Inquiry est for Corrected Filing Receipt w/copy of all Filing Receipt  TRADE WINSTER 1 2 2003



## THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent	Application of	<b>)</b> .				
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	TRUMENT FOR EXTROVERTING OD VESSEL	) 1G )				
	INFORMATION DIS TRANSMI					
P.O. Box 14	er for Patents 50 VA 22313-1450					
Sir:						
	osed is an Information Disclosure (fied patent application.	Stateme	nt and accompanying form PTO-1449 for the			
[X]	[X] No additional fee for submission of an IDS is required.					
[ ]	[ ] The fee of \$180.00 (1806) as set forth in 37 C.F.R. § 1.17(p) is also enclosed.					
[X]	[X] A statement under 37 C.F.R. § 1.97(e) is also enclosed.					
[ ]	A statement under 37 C.F.R. § in 37 C.F.R. § 1.17(p) are also		), and the fee of \$180.00 (1806) as set forth ed.			
[ ]	Charge \$ to Depo	osit Acc	ount No. 02-4800 for the fee due.			
[ ]	[ ] A check in the amount of \$ is enclosed for the fee due.					
1.17 and 1.2		er, and	y appropriate fees under 37 C.F.R. §§ 1.16, to credit any overpayment, to Deposit icate.			
		Respec	etfully submitted,			
		BURNS	, Doane, Swecker & Mathis, L.L.P.			
Date: <u>Dec</u> P.O. Box 14	ember 12, 2003	By: /	Hatthew L. Schneider egistration No. 32,814			
	Virginia 22313-1404					